

## Asset Reconstruction

Aditya Birla ARC Limited

(A subsidiary of Aditya Birla Capital Ltd.)



**ADITYA BIRLA  
CAPITAL**

PROTECTING INVESTING FINANCING ADVISING

### **COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 30, 2023**

*(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, Hiral Sidhpura, Compliance Officer of Aditya Birla ARC Limited, or \_\_\_\_\_, ~~Practising Company Secretary (as decided by the Company)~~ am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 1 number of events during the quarter ended and has captured 1 number of the said required events.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard:

NIL

**For Aditya Birla ARC Limited**

**Hiral Sidhpura  
Company Secretary & Compliance Officer  
ACS 32296**

**Date: October 04, 2023**

**Place: Mumbai**

#### **Aditya Birla ARC Limited**

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841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013.  
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#### **Registered Office:**

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CIN: U65999MH2017PLC292331